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Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Attorney Docket Number HVWD-01003US0	Serial/Patent Number 09/757,872
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use several sheets if necessary)</i>				Applicant/Patent Owner John S. Flowers et al.	
		Filing/Issue Date January 10, 2001	Group Art Unit 2131		

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
EKF	5,892,903	04/06/99	Klaus	395	187.01	09/12/96
✓	5,136,523	08/04/92	Landers	395	54	03/26/91
✓	5,278,901	01/11/94	Shieh et al.	380	4	04/30/92
✓	5,802,720	09/01/98	Baehr et al.	395	200.79	05/18/95

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Trans- lation Yes No

OTHER DOCUMENTS (Include Author, Title, Date, Pertinent pages, etc.)

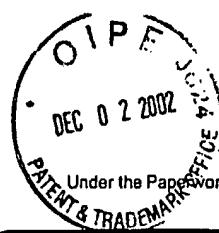
EKF	Rebecca Bace, Infidel, Inc. "An Introduction to Intrusion Detection and Assessment", 1999, 38 pages.
✓	Fyodor, "Remote OS detection via TCP/IP Stack FingerPrinting", October 18, 1998, 10pages.

Examiner EKF Date Considered 22 JUL '04

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

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Complete if Known

Application No.	09/757,872
Filing Date	January 10, 2001
First Named Inventor	John S. Flowers <i>et al.</i>
Art Unit	2131
Examiner Name	Gail O. Hayes

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
E CT	A1	US- 5,278,901	01-11-1994	Shieh <i>et al</i>
	A2	US- 5,796,942	08-18-1998	Esbensen
	A3	US- 5,798,706	08-25-1998	Kraemer <i>et al.</i>
	A4	US- 5,892,903	04-06-1999	Klaus
	A5	US- 5,931,946	08-03-1999	Terada <i>et al.</i>
	A6	US- 5,958,015	09-28-1999	Dascalu
	A7	US- 5,991,881	11-23-1999	Conklin <i>et al.</i>
	A8	US- 6,185,689 B1	02-06-2001	Todd, Sr. <i>et al.</i>
	A9	US- 6,263,444 B1	07-17-2001	Fujita
	A10	US- 6,279,113 B1	08-21-2001	Vaidya
	A11	US- 6,282,546 B1	08-28-2001	Gleichauf <i>et al.</i>
	A12	US- 6,298,445 B1	10-02-2001	Shostack <i>et al.</i>
	A13	US- 6,301,668 B1	10-09-2001	Gleichauf <i>et al.</i>
	A14	US- 6,321,338 B1	11-20-2001	Porras <i>et al.</i>
	A15	US- 6,324,656 B1	11-27-2001	Gleichauf <i>et al.</i>
	A16	US- 6,330,562 B1	12-11-2001	Boden <i>et al.</i>
	A17	US- 6,343,362 B1	01-29-2002	Ptacek <i>et al.</i>
	A18	US- 6,347,376 B1	02-12-2002	Attwood <i>et al.</i>
	A19	US- 6,359,557 B2	03-19-2002	Bilder
	A20	US- 6,363,489 B1	03-26-2002	Comay <i>et al.</i>
	A21	US- 6,370,648 B1	04-09-2002	Diep
	A22	US- 6,408,391 B1	06-18-2002	Huff <i>et al.</i>
✓	A23	US- 2002/0133721 A1	09-19-2002	Adjaoute

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
E CT	B1	WO 01/31420 A2	05-03-2001	Visa International Service Association	
✓	B2	WO 02/45380 A2	06-06-2002	Lancope, Inc.	

Examiner Signature	<i>E CL</i>	Date Considered	<i>22 JUL '04</i>
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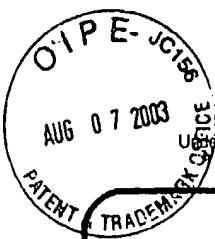
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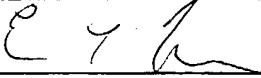
Application No.	09/757,872	RECEIVED
Filing Date	January 10, 2001	AUG 08 2003
First Named Inventor	John S. Flowers	
Art Unit	2134	
Examiner Name	Gregory Allan Morse	Technology Center 2100
Attorney Docket Number	23327-06893	

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
ECT	A1	US-6,415,321 B1	07/ /2002	Glechauf et al.
	A2	US-6,269,447 B1	07/31/2001	Maloney et al.
	A3	US-5,699,403	12/16/1997	Ronnen
↓	A4	US-5,557,742	09/17/1996	Smaha et al.
		US-		
		US-		

FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
ECT	C1	"Microsoft Computer Dictionary Fifth Edition," 2002, 6 pages		T ⁶
	C2	ANDERSON, TERESA, "Hunting for Holes," Security Management, Arlington, April 1996, 2 pages		
	C3	BREYFOGLE, STACEY, "Don't Stop at Your Servers," Software Magazine, Englewood, January 1998, pp. 1-3		
↓	C4	JOHNSON, JOHNA TILL, "Simulated Attack for Real Network Security," Data Communications, November 2, 1995, pp 31-32		

23327-06893/DOCS/1366623.1

Examiner Signature		Date Considered	22 JUL '04
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<i>Complete if Known</i>	
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Examiner Name	Gregory Allan Morse
Attorney Docket Number	23327-06893

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ECT	A	US 6,809,205 B1	08/19/2003	Bernhard et al.
V	B	US 6,484,315 B1	11/19/2002	Ziese

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FOREIGN PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
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OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
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Application No.	09/757,872
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First Name of Inventor	John S. Flowers
Art Unit	2134
Examiner Name	Gregory Allan Morse

Sheet 1 of 1 Attorney Docket Number 23327-06893

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U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
ECT	US-6,101,608	08-08-2000	Diersch, et al.	
	US-6,088,804	07-11-2000	Hill, et al.	
↓	US-6,006,328	12-21-1999	Drake	
	US-5,388,211	02-07-1995	Hombuckle	
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Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		
ECT		RISTENBATT, MARTIN P., Methodology For Network Communication Vulnerability Analysis, IEEE, 1988, pp. 493-499.		
↓		SKAGGS, B., et al., Network Vulnerability Analysis, IEEE, 2002, pp. 493-495.		
		THATCHER, MICHELLE, Keeping Your Technology Secure, Technology & Learning, April 2002, pp. 38, 40, 42 and 44.		

Examiner Signature	<i>CJL</i>	Date Considered	22 JULY 2004
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